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0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Application Number	09/977,870
		Filing Date	October 14, 2001
		First Named Inventor	Jeffrey C. Hawkins
		Group Art Unit Number	2674
		Examiner Name	Alexander Eisen
Total Number of Pages in This Submission	9*	Attorney Docket Number	21495-05943

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A	<input type="checkbox"/> _____
<input checked="" type="checkbox"/> Copies of Non-U.S. IDS Cited References	<input type="checkbox"/> _____
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<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____

REMARKS: *Page count does not include cited references.

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Amir H. Raubvogel, Reg. No. 37,070	Dated: SEP 20/04

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Amir H. Raubvogel	Dated: SEP 20/04
Express Mail Mailing Number (optional):		



2674 #
1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jeffrey C. Hawkins, William B. Rees, Debbie O. Chyn and Robert Y. Haitani
APPLICATION NO.: 09/977,870
FILING DATE: October 14, 2001
TITLE: Interface For Processing Of An Alternate Symbol In A Computer Device
EXAMINER: Alexander Eisen
GROUP ART UNIT: 2674
ATTY. DKT. NO.: 21495-05943

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Amir H. Raubvogel, Reg. No.: 37,070

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COMMISSIONER FOR PATENTS
P.O. BOX 1450
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

09/23/2004 HVJUNG1 00000058 09977870 180.00 0P
01 FC:1806

- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
- ☒ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

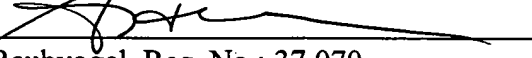
in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

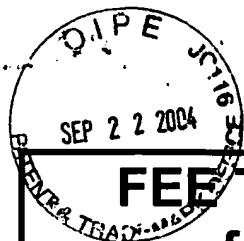
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JEFFREY C. HAWKINS ET AL.

Dated: Sep 20/04

By: 
Amir H. Raubvogel, Reg. No.: 37,070
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7276
Fax.: (650) 938-5200



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/977,870
Filing Date October 14, 2001
First Named Inventor Jeffrey C. Hawkins
Examiner Name Alexander Eisen
Art Unit 2674
Attorney Docket No. 21495-05943

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number

19-2555

Deposit Account Name

Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent			=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*

Fee Description

Fee Paid

1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$)

180.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)

Amir H. Raubovogel

Registration No.
(Attorney/Agent)

37,070

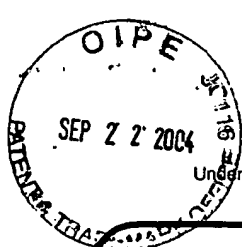
Complete (if applicable)

Telephone (650) 335-7276

Signature

Date

SEP 20/04



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/977,870
Filing Date	October 14, 2001
First Named Inventor	Jeffrey C. Hawkins
Art Unit	2674
Examiner Name	Alexander Eisen
Attorney Docket Number	21495-05943

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Sheet 1 of 4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-6,725,060	04-20-2004	Chhatriwala et al.
		US-6,662,244	12-09-2003	Takahashi
		US-6,611,697	08-26-2003	Ewing et al.
		US-2003/0123627	07-03-2003	Pinard et al.
		US-6,477,482	11-05-2002	Maupin et al.
		US-2002/0126097	09-12-2002	Savolainen
		US-2002/0086702	07-04-2002	Lai et al.
		US-6,397,078	05-28-2002	Kim
		US- D456,805	05-07-2002	Ono et al.
		US- D455,135	04-02-2002	Hyun
		US- D454,349	03-12-2002	Makidera et al.
		US-6,330,618	12-11-2001	Hawkins et al.
		US-6,317,592	11-13-2001	Campana, Jr. et al.
		US- D450,307	11-13-2001	Xu et al.
		US-2001/0027121	10-04-2001	Boesen
		US-6,295,460	09-25-2001	Nagel et al.
		US- D446,199	08-07-2001	Xu et al.
		US- D443,865	06-19-2001	Kim
		US- D442,156	05-15-2001	Lee
		US- D440,959	04-24-2001	Wolf et al.
		US- D439,232	03-20-2001	Ledingham et al.
		US- D433,016	10-31-2000	Matsuda et al.
		US- D432,535	10-2-2000	Loh et al.
		US-D432,099	10-17-2000	Loh et al.

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/977,870
Filing Date	October 14, 2001
First Named Inventor	Jeffrey C. Hawkins
Art Unit	2674
Examiner Name	Alexander Eisen
Attorney Docket Number	21495-05943

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-D423,468	04-25-2000	Jenkins
		US-D421,744	03-21-2000	Ono
		US-6,006,274	12-21-1999	Hawkins et al.
		US-6,000,000	12-07-1999	Hawkins et al.
		US- D416,256	11-09-1999	Griffin et al.
		US-5,983,073	11-09-1999	Ditzik
		US- D416,001	11-02-1999	Tal et al.
		US- D410,486	06-01-1999	Takahata et al.
		US-D409,185	05-04-1999	Kawashima
		US- D408,021	04-13-1999	Haitani et al.
		US- D408,030	04-13-1999	Richards et al.
		US-5,884,323	03-16-1999	Hawkins et al.
		US-5,797,089	08-18-1998	Nguyen
		US- D395,300	06-16-1998	Yamazaki et al.
		US- D386,497	11-18-1997	Huslig et al.
		US- D385,875	11-04-1997	Harris et al.
		US- D354,478	01-17-1995	Miyahara

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		CA 2187050	05/2/97		
		EP 0 813 328 A2	12/17/97		

Examiner Signature		Date Considered	
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21495/05943/DOCS/1461579.1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	09/977,870
				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		EP 0 840 934 B1	04/14/99		
		WO 94/10678	05/11/94		
		WO 94/16408	07/21/94		
		WO 96/01453	01/18/96		
		WO 00/65445	11/02/00		

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
		BlackBerry Handheld Users Guide, 9/7/1999.		
		BlackBerry Developer's Guide SDK User's Guide Version 2.0 (Data TAC), 6/12/00.		
		BlackBerry Desktop Software Installation and Getting Started Guide, 2/5/99.		
		BlackBerry Enterprise Server Software Installation and Getting Started Guide, 9/29/99.		
		Compaq Product Information, <i>iPAQ Pocket PC Options</i> , [retrieved 11/13/2001], retrieved from internet: www.compaq.com/products/handhelds..		
		Choi, H., <i>First Look: Samsung I300 Cellphone/PDA</i> , retrieved from internet www.techtv.com , 03/28/01.		
		Handspring Product Information: <i>VisorPhone The Springboard Module that Transforms your Visor into an Extraordinary Phone</i> , (2000).		
		Handspring, <i>Sprint and Airprime Delivery First Wireless CDMA Phone and Data Module for the Handspring Visor</i> , [retrieved 8/6/01], retrieved from internet www.handspring/pr59.html .		
		Handspring VisorPhone Module User Guide, (2000-2001).		
		IBM Technical Disclosure Bulletin: <i>SimpleUser Interface to a Cellular Telephone</i> , Oct. 1995, pp. 349-350, [retrieved 07/05/2001], retrieved from internet.		
		IBM Technical Disclosure Bulletin: <i>Inexpensive Personal Digital Assistant</i> , April 1995, pp.525-526, [retrieved 07/05/2001], retrieved from internet.		
		Kyocera's Smartphone Series, retrieved from internet www.kyocera-wireless.com , 2001		

Examiner Signature		Date Considered	
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08/26/04

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				Filing Date	October 14, 2001
				First Named Inventor	Jeffrey C. Hawkins
				Art Unit	2674
				Examiner Name	Alexander Eisen
Sheet	4	of	4	Attorney Docket Number	21495-05943

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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Mossberg, W., <i>Samsung Embeds Cellphone Inside Palm in New I300 Device</i> , August 30, 2001, [retrieved 10/26/01], retrieved from internet http://www.ptech.wsj.com/archive/ptech-20010830.html .	
		Newspaper Article: <i>Ways to Escape Multiple-Remote Hell</i> , San Jose Mercury News, June 14, 2001.	
		Nokia 9110 Accessories Quick Guide Instruction Manual, 1999.	
		Sprint PCS User Guide – Samsung Model SCH-8500, 1999.	
		Tam, P., "Palm, Motorola to Make PDA Cell Phones", September 25, 2000, [retrieved 06/19/01], retrieved from internet http://www.zdnet.com/zdnn/stories/news/0,4586,2631800,00.html .	
		Technical White Paper BlackBerry Enterprise Edition for Microsoft Exchange, Version 2.1, (1999-2001).	
		Technical White Paper BlackBerry Enterprise Server for Microsoft Exchange, Version 2.1, (1997-2001).	
		TUCOWS PDA Phone GSM Dailer: GSM Dailer 1.0, November 30, 2000, [retrieved 06/19/01], retrieved from internet http://pds.rcp.net/pe/pocket/preview_154208.html .	
		User's Guide, hp iPAQ Pocket PC h4000 Series, Document Part Number: 343434-001, August 2003, 141 pages	
		SyncML Website Introduction Page, SyncML Initiative LTD., [Retrieved on 2002-10-24]. Retrieved from the Internet: <URL: http://www.syncml.org/about-intro.html >, 2 pages.	
		SyncML Sync Protocol [Retrieved on 2000-12-07]. Retrieved from the Internet: <URL: http://www.syncml.org/docs/syncml_protocol_v10_20001207.pdf >, 60 pages	
		SyncML Sync Protocol Version 1.0.1 [Retrieved on 2001-06-15]. Retrieved from the Internet: <URL: http://www.syncml.org/docs/syncml_protocol_v101_20010615.pdf >, 61 pages	
		Wireless Application Protocol, Service Loading, WAP-168-ServiceLoad-200110731-a, Version 31-Jul-2001, 18 pages	
		Wireless Application Protocol, Service Indication, WAP-167-ServiceInd-200110731-a, Version 31-Jul-2001, 28 pages	
		Wireless Application Protocol, Push Message, WAP-251-PushMessage-20010322-a, Version 22-Mar-2001, 14 pages	
		Wireless Application Protocol, WAP-Sync-Spec, Data Synchronisation Specification, WAP-234-SYNC-20010530-a, Version 30-May-2001, 11 pages	
		Wireless Application Protocol, Wireless Application Protocol, Wireless Markup Language Specification Version 1.3, WAP WML, WAP-191-WML, 19 February 2000, 110 pages	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

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